

## Message Text

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PAGE 01 NAIROB 11504 061849Z

ACTION IO-14

INFO OCT-01 AF-10 EUR-12 ISO-00 IOE-00 AID-05 CEQ-01  
EPA-01 AGRE-00 INT-05 OES-07 OIC-02 OMB-01 TRSE-00  
ABF-01 SSO-00 INRE-00 NSCE-00 USIE-00 FEA-01  
CIAE-00 ERDA-05 COME-00 DODE-00 EB-08 INR-07 L-03  
NSF-01 NSAE-00 PM-05 SS-15 SP-02 /107 W  
-----117514 061910Z /47

O R 061515Z SEP 77

FM AMEMBASSY NAIROBI

TO SECSTATE WASHDC NIACT IMMEDIATE 1134

INFO USMISSION USUN NEW YORK

AMEMBASSY PARIS

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FROM USDEL

IO FOR FRANK; COORDINATE WITH AID/VAN DYKE

E. O. 11652: N/A

TAGS: UN, OCON, SENV, EAID

SUBJECT: UN DESERTIFICATION CONFERENCE: FINANCIAL-INSTITUTIONAL  
ARRANGEMENTS

REF: (A) STATE 196362, (B) STATE 211715

(C) PARIS 24929

1. WORKING GROUP ON FINANCIAL-INSTITUTIONAL  
ARRANGEMENTS NEARING COMPLETION ITS WORK. MME DUBOIS, MENTIONED  
REF (C) HAS PLAYED MAJOR AND EXTREMELY COMPETENT ROLE AS WEOG REP  
ON WORKING GROUP, AND USDEL HAS COORDINATED CLOSELY WITH HR.  
FOLLOWING ARE CONCLUSIONS WE EXPECT BE APPROVED BY WORKING GROUP  
AND FORWARDED COMMITTEE OF THE WHOLE FOR DEBATE WED, SEPT. 7.  
A. CONSULTATIVE GROUP/CLUB OR GROUP-TYPE FINANCING (1.) EXECUTIVE  
DIRECTOR OF UNEP COULD IMMEDIATELY AFTER ADOPTION BY UNGA OF  
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PAGE 02 NAIROB 11504 061849Z

PLAN OF ACTION CONVENE CONSULTATIVE GROUP COMPRISING REPRESENTATIVES  
FROM ORGANIZATIONS REFERRED TO IN PLAN OF ACTION FROM SUCH  
OTHER ORGANIZATIONS AS MIGHT BE REQUIRED, INCLUDING MAJOR DONORS,  
BOTH TRADITIONAL AND NEW, MULTI-LATERAL FINANCING AGENCIES, AND  
FROM LDC'S HAVING A SUBSTANTIAL INTEREST IN COMBATING  
DESERTIFICATION. THE GROUP, WHICH WOULD MEET AS AND WHEN  
REQUIRED, WOULD ALSO ASSIST IN CO-ORDINATION OF ACTIVITIES

UNDERTAKEN WITH THE RESOURCES MOBILIZED BY IT. IT WOULD BE SERVICED BY SMALL TECHNICAL STAFF WHICH SHOULD INCLUDE PERSONS WITH PROFESSIONAL COMPETENCE TO DEAL WITH FINANCIAL AND RELATED MATTERS.

(2.) WHEN SO REQUIRED, SUBREGIONAL CO-OPERATION SHOULD BE INITIATED OR INTENSIFIED AMONG COUNTRIES AFFECTED BY DESERTIFICATION WITH VIEW TOWARDS FORMULATING JOINT PROGRAMMES AND AND DEVELOPMENT ASSISTANCE REQUESTS.

B. BILATERAL, MULTILATERAL AND MULTI-BILATERAL ASSISTANCE

(3.) MULTILATERAL AND BILATERAL ASSISTANCE PROGRAMMES HAVE BEEN THE TRADITIONAL FORMS OF PROVIDING ASSISTANCE, A RECENT VARIATION BEING THE "MULTI-BILATERAL" APPROACH, WHEREBY BILATERAL ASSISTANCE PROGRAMMES AGREE TO PROVIDE ASSISTANCE TO A DEVELOPING COUNTRY THROUGH THE AUSPICES OF A MULTILATERAL ORGANIZATION ACTING AS EXECUTING AGENCY. THESE TRADITIONAL SOURCES OF FINANCING ARE EFFECTIVE. IN VIEW OF THE NEW DEVELOPMENT ASSISTANCE NEEDS HIGHLIGHTED BY THIS CONFERENCE, IT IS RECOMMENDED THAT THEY BE REQUESTED TO INCREASE THEIR ASSISTANCE TO COUNTRIES SUFFERING FROM DESERTIFICATION.

(4.) IT IS RECOMMENDED THAT DEVELOPING COUNTRIES GIVE DUE PRIORITY TO DESERTIFICATION PROBLEMS IN THEIR DEVELOPMENT ASSISTANCE REQUESTS. ALSO THAT EXISTING FINANCIAL INSTITUTIONS WITHIN THE UN SYSTEM, AS WELL AS MULTILATERAL AND BILATERAL DONORS OUTSIDE UN SYSTEM ALLOCATE PART OF THEIR RESOURCES TO FINANCE ACTIVITIES IN PLAN OF ACTION TO COMBAT DESERTIFICATION. TOWARD LIMITED OFFICIAL USE

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PAGE 03 NAIROB 11504 061849Z

THIS END A REVIEW OF PRIORITIES AND THE ACTIVITIES OF THESE INSTITUTIONS, PARTICULARLY THOSE WITHIN THE UNITED NATIONS SYSTEM, SHOULD BE UNDERTAKEN WITHOUT DELAY.

(5.) FUNDS-IN-TRUST

FUNDS-IN-TRUST FINANCING, WHEREBY A DONOR COUNTRY ENTRUSTED AN AMOUNT OF MONEY TO AN INTERNATIONAL ORGANIZATION SUCH AS UNEP OR UNDP TO ADMINISTER FOR A SPECIFIC PURPOSE, WAS NOT CONSIDERED AS EFFECTIVE AS OTHERS AS A MAJOR MEANS OF FINANCING FOLLOW-UP TO THE PLAN OF ACTION, SINCE IT GAVE NOT ASSURANCE OF CONTINUITY;

(6.) FISCAL MEASURES ENTAILING AUTOMATICITY

THE PROPOSALS FOR A TAX MECHANISM DESCRIBED IN INFORMAL NOTE BY CONFERENCE SECRETARY-GENERAL AS WELL AS OTHER POSSIBLE MECHANISMS ENTAILING ELEMENTS OF AUTOMATICITY, DID NOT APPEAR CURRENTLY PRACTICABLE SINCE THEY RAISED NUMBER OF QUESTIONS WHICH MIGHT REQUIRE FURTHER STUDY.

(7.) INTERNATIONAL FUND

FYI WORKING GROUP YET TO AGREE ON WORDING. USG WILL ADVOCATE REFERRAL FOR FURTHER STUDY ALONG WITH ALL OTHER FINANCIAL ALTERNATIVES. END FYI

(8.) PROPOSES UNGA REQUEST GOVERNING COUNCIL UNEP TO HAVE PREPARED,

BY SMALL GROUP HIGHLEVEL SPECIALISTS IN INTERNATIONAL  
FINANCING OF PROJECTS AND PROGRAMMES, A STUDY OF THE ABOVE MEANS  
OF FINANCING.

2. USDEL BELIEVES THAT WITH SOME MODIFICATIONS AND CLARIFICATIONS,  
WORKING GROUP REPORT SHOULD BE ENDORSED BY U.S. REPORT REPRESENTS  
CONSENSUS MEMBERS WEOG (SWEDEN PERHAPS DISSENTING ON SOME ITEMS), AS  
WELL AS AFRICAN, ASIAN AND EAST EUROPEAN GROUPS. RECOMMENDATIONS  
EMBODY MECHANISMS FOR FOLLOW-UP ACTION FOR FOLLOW-UP WILL  
HOPEFULLY SATISFY THOSE URGING, UNDERSTANDABLY FINANCIAL-  
INSTITUTIONAL FOLLOW-UP.

3. SPECIFIC ADVANTAGES THESE RECOMMENDATIONS INCLUDE PROCEDURE FOR  
FOR REVIEW AND COORDINATION EXISTING PLANS AND PROGRAMS AMONG ALL  
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PAGE 04     NAIROB 11504 061849Z

PARTIES CONCERNED; RECOGNITION EXISTING FORMS INSISTENCE QUOTE  
EFFECTIVE INQUOTE; REFERRAL WITHOUT PREJUDICE OF POSSIBLE NEW  
FINANCIAL CONCEPTS FOR DETAILED STUDY BY UNEP. THUS, AS  
PARTICIPANT THIS PROCESS, USG COULD RELATE AND COORDINATE MANY  
PRESENT AND PLANNED USG ACTIONS RELEVANT PLAN OF ACTION; WORK  
TO ENSURE THAT NEW ACTIVITIES AVOID DUPLICATION EFFORT; EXAMINE WITH  
OPEN MIND, POSSIBLE GAPS IN FINANCING PLAN OF ACTION AND ALTERNATIVE  
WAYS OVERCOMING IT.

4. US DEL PLANS SUCH CONFERENCE AGREEMENT FOLLOWING MODIFICATIONS/  
CLASSIFICATIONS IN APPROVING REPORT:  
A. FUNCTIONS CONSULTATIVE GROUP WOULD INCLUDE REVIEW EXISTING  
PROGRAMS CARRIED ON AND/OR FINANCED BY PARTICIPANTS IN ORDER  
ACHIEVE IMPROVEMENTS, REALLOCATIONS WITHIN EXISTING BUDGETS,  
BETTER COORDINATION, ETC. TO HELP ACHIEVE PLAN OF ACTION. THIS  
WOULD BE PRELUDE TO ASSESSING NEED FOR ANY NEW FUNDS.  
B. CONSULTATIVE GROUP WOULD BE STRICTLY LIMITED TO REVIEW,  
COORDINATION, AND FORMULATING RECOMMENDATIONS TO UNEP. IN NO WAY  
WOULD IT CONSTITUTE CONSORTIUM UMPOWERED, OR ASKED, TO  
ESTABLISH AND/OR MANAGE NEW FUNDING MECHANISMS. ANY RECOMMENDATION  
FOR NEW FUNDING ARRANGEMENTS, ETC. SHOULD BE STUDIED BY  
UNEP AND REFERRED TO ECOSOC OR OTHER APPROPRIATE BODY FOR FURTHER  
ACTION.

5. WITH ACCEPTANCE THESE CLARIFICATION/MODIFICATIONS,  
US DEL STRONGLY ADVOCATES US ENDORSE RECOMMENDATIONS

ND INDICATE WILLINGNESS, PARTICIPATE CONSULTATIVE  
GROUP.

6. REQUEST COMMENTS. ASAP.  
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## Message Attributes

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Margaret P. Grafeld  
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